

527414 - Windstream_NOFA 008_Maxwell

Application Details

Funding Opportunity:	512252-Empower Rural Iowa - Capital Projects Fund NOFA #008
Funding Opportunity Due Date:	Aug 25, 2023 5:00 PM
Program Area:	Broadband Grant Program - Empower Rural Iowa
Status:	Submitted
Stage:	Final Application
Initial Submit Date:	Aug 25, 2023 1:03 PM
Initially Submitted By:	Jason Tompkins
Last Submit Date:	Sep 6, 2023 5:25 PM
Last Submitted By:	Jason Tompkins

Contact Information

Primary Contact Information

Active User*:	Yes
Type:	External User
First Name*:	Jason L Tompkins First Name Middle Name Last Name
Title:	
Email*:	jason.tompkins@windstream.com
Address*:	4001 North Rodney Parham Road Mailstop KINETIC #1207 Little Rock, AR, 72212-2442 Little Rock Arkansas 72112 City State/Province Postal Code/Zip
Phone*:	(501) 517-2341 Ext. Phone ###-###-####
Fax:	###-###-####
Agency:	

Organization Information

Status*: Approved

Name*: Windstream Services, LLC

Organization Type*: For-Profit – Publicly Traded

DUNS: ##-###-####

Tax Id:

Unique Entity Identifier (UEI):

Organization Website: <http://www.windstream.com>

Address*: 4001 North Rodney Parham Road
Mailstop 1170-B3F04-41

Little Rock Arkansas 72212
City State/Province Postal Code/Zip

Phone*: (501) 748-3511 Ext.
###-###-####

Fax: ###-###-####

Benefactor:

Vendor Number / ID:

Cover Sheet-General Information

Cover Sheet-General Information

Authorized Official

Name*: Jason Tompkins

Title*: Principal - Government Reporting

Organization*: Windstream Services, LLC
If you are an individual, please provide your First and Last Name.

Address*: 4005 North Rodney Parham Road
Mailstop KINETIC #1207

City/State/Zip*: Little Rock Arkansas 72112
City State Zip

Telephone Number*: 501-517-2341

E-Mail*: jason.tompkins@windstream.com

Fiscal Officer/Agent

Please enter the "Fiscal Officer" for your Organization.
If you are an individual, please provide your First and Last Name.

Name*: Tim Loken

Title: Director - Regulatory Reporting

Organization: Windstream Services, LLC

Address: 4005 North Rodney Parham Road
Mailstop KINETIC #1207

City/State/Zip: Little Rock Arkansas 72112
City State Zip

Telephone Number: 501-748-7442

E-Mail: tim.p.loken@windstream.com

**County(ies) Participating,
Involved, or Affected by this
Proposal*:** Story County

To find your district, click on the "Congressional Map" link. On the left hand side of the page, click on the drop-down list and click on "State of Iowa". Then, enter an address for the county/ies you serve in the Search bar. Click "Enter." This will provide you with your Congressional District, Iowa Senate District and Iowa House District.

**Congressional District(s)
Involved or Affected by this
Proposal*:** 4th - Rep Randy Feenstra
Congressional Map

**Iowa Senate District(s) Involved
or Affected by this Proposal*:** 25
Iowa Senate Map

**Iowa House District(s) Involved
or Affected by this Proposal*:** 51
Iowa House Map

Business Organization NOFA #008

Business Organization - NOFA #008

Please list the business legal name as it is used by federal and state taxing agencies, banks, and for other legal purposes.

Business Legal Name*: Windstream Services, LLC

Doing Business As: Windstream Services, LLC

**Are you a local government,
non-profit, and/or cooperative?
*:** No

**Identify your organization as a
local government, non-profit, or
cooperative :**

Physical Address

Street *: 4005 North Rodney Parham Road

City*: Little Rock

State*: Arkansas

United States ZIP code (five digits) concatenated with the additional +4 digits where the vendor is physically located (e.g., 50312-5307)

Zip Code*: 72112

Mailing Address (used for warrants and/or payments)

Street or PO Box *: 4005 North Rodney Parham Road

City*: Little Rock

State*: Arkansas

United States ZIP code (five digits) concatenated with the additional +4 digits where the vendor is physically located (e.g., 50312-5307)

Zip Code*: 72112

Applicant Business Structure and Eligibility

Is the Applicant a Communications Service Provider as defined by Iowa Code chapter 8B, including but not limited to private sector carriers, local governments, utilities, and other entities that provide or intend to provide Broadband service.

**Communication Service
Provider*:** Yes

**Are you the entity that will be
performing the proposed work,
or an entity with a substantial
ownership interest in the entity
that will be both performing the
proposed work and
offering/Facilitating last-mile
connection to homes and
businesses?*** Yes

Identification of whether the Applicant is a subsidiary of one or more parent companies.

**Are you a subsidiary of one or
more parent companies?*** Yes

Upload a PDF document that describes the relationship between all parent companies to one another and the subsidiary and a visualization of the relationship. Please name and upload file "Application Number - Applicant Name - Business Organization".

Business Organization Chart: 527414 -Windstream - Business Organization.pdf

Capital Projects Fund Requirements

All eligible applicants are required to have an active registration with the System for Award Management (SAM) (<https://www.sam.gov>). Please upload a PDF of your organization's status.

SAM.gov*: Windstream-NOFA 008 - SAM.gov.pdf

A Unique Entity Identifier (UEI) is a unique 12-digit number used to identify your organization (this has replaced the DUNS number). The federal government uses the UEI to track how federal money is allocated. Please visit this website for additional information: <https://www.sam.gov>

Unique Entity Identifier*: NLEEJ76QJFR8

Enter your organization's Federal Tax Identification Number.

**Taxpayer Identification Number
(TIN)*:** 85-2049794

Enter your organization's FCC FRN number.

FCC_FRN*: 0005089446

Enter your organization's FCC-assigned Provider ID. Follow the link below to a list of these ID's by provider. The ID is listed in the column labeled "HOCONUM" or Holding Company Number.

FCC Provider ID*: **131413**

FCC Provider ID Table

Executive Project Summary NOFA #008

Executive Project Summary NOFA #008

No costs may be incurred prior to March 15, 2021.

Estimated Project Construction **04/01/2024**

Start Date*:

The project must be completed no later than September 30, 2026.

Estimated Project Completion **12/31/2025**

Date*:

Has construction on the project **No**
begun?*

By stating federal funds are necessary to proceed, the applicant is confirming that the project would not be built out to these eligible areas and completed by September 30, 2026 without this funding.

Are federal funds necessary for **Yes**
the project to proceed?*

Are you applying for a project **Yes**
that will facilitate 100/100
Broadband or greater?*

Are you applying for a project
that will facilitate less than
100/100 but greater than 100/20
Broadband?*

Will your project be scalable to
a minimum of 100/100
Broadband within three years of
project completion?*

Explain why you are not
deploying a minimum of 100/100
and provide the plan as to how
you intend to reach a minimum
of 100/100 speed from both a
technology and deployment
perspective, to occur within 36
months.:

Please include the technology type, facilitated speed and the project area. You may also include information regarding any middle-mile subcontractors that will be facilitating middle mile services.

Briefly describe your project. *:

This project will deliver up to 1 gigabyte symmetrical speeds, over Fiber-to-the-Premise infrastructure, to 158 locations in and around Maxwell, Iowa.

By checking this box, Applicant Yes
certifies, to the best of its
knowledge, that neither
Applicant nor any other known
Communications Service
Provider or other entity has
been awarded federal funds for
the construction of 100/20 fixed
terrestrial broadband or faster,
which funds may include but are
not limited to RDOF, ACAM, or
other sources of federal
funding, for previous or current
Broadband infrastructure
projects in the Eligible Area(s)
forming this basis of Applicant's
proposed Project. *:

Total Project costs are defined as the total costs/expenditures comprising a Project, and for which the Applicant/Grantee may seek reimbursement from the Office. Refer to Section 1.5.2 (Total Project Costs).

Is your project requesting \$5 No
million or more in grant funds?*::

For the relevant project all laborers and mechanics employed by contractors and subcontractors in the performance of such project are paid wages at rates not less than those prevailing, as determined by the U.S. Secretary of Labor in accordance with subchapter IV of the Chapter 31 of title 40, United States Code (commonly known as the Davis Bacon Act), for the corresponding classes of laborers and mechanics employed on projects of a character similar to the contract work in the civil subdivision of the State in which the work is to be performed, or by the appropriate State entity pursuant to the corollary State prevailing-wage-in construction law (commonly known as "baby Davis Bacon Acts")

I certify the project will conform
the requirements listed above:

If your project will not conform to the requirements identified by the Department of Treasury consistent with the Davis Bacon Act, your organization may elect to provide project employment and local impact reports detailing the number of employees of the contractors and sub-contractors working on the project; the wages and benefits of the workers and the project classification; and whether those wages are at rates less than those prevailing. Grantees must maintain sufficient records to the substantiate this information upon request.

I elect to provide project No
employment and local impact
reporting instead of complying
with requirements established
by the Department of Treasury
consistent with the Davis Bacon
Act for this project.:

I certify that this project includes a project labor agreement, meaning a pre-hire collective bargaining agreement consistent with section 8(f) of the National Labor Relations Act (29 U.S.C. 158(F)).:

If the grantee does not have a project labor agreement, then they must provide a workforce continuity plan detailing: How the grantee will ensure the project has ready access to sufficient supply of appropriate skilled and unskilled labor to ensure high quality construction throughout the life of the project; how the grantee will minimize disruptions that would jeopardize timeliness and cost-effectiveness of the project; and how the grantee will provide a safe and healthy workplace and avoid delays associated with workplace illnesses, injuries, and fatalities; Whether workers on the project will receive wages and benefits that will secure an appropriately skilled workforce in the context of the local or regional labor market; and whether the project has completed a project labor agreement.

I elect to provide a project workforce continuity plan at the time of award.: No

If the Applicant proposes to Facilitate Broadband service within a Broadband Intervention Zone, provide a description of how any Community Broadband Capital offered by a Community Applicant through the Invitation to Qualify may be used (Refer to the corresponding Broadband Intervention Zone documentation at <https://ocio.iowa.gov>).

Community Broadband Capital:

A Community Benefits Agreement (CBA) is a project specific agreement between a developer and a broad community based coalition that details the project's contributions to the community and ensures community support for the project. The agreement gives the local government the power to enforce the community benefits terms.

Does your project have a Community Benefit Agreement? No

***:**

Demonstrated Experience NOFA #008

Eligibility and Demonstrated Experience

This section requires information about Applicant's demonstrated experience in provisioning Broadband across the State of Iowa. Applicant shall include relevant information about their experience that has prepared them to deploy their Project, such as, for example, community partnerships and services; history of organization; number of years in business; number of years of experience providing the types of services sought by this NOFA #008; if the Applicant is a relatively new provider/market entrant, an explanation of the benefits, if any, of being a newer provider/market entrant; the level of technical experience in providing the types of services sought by this NOFA #008.

Demonstrated Experience*: 527414 - Windstream - Demonstrated Experience.pdf

References

Name: Donna Whitehurst

Telephone Number: 253-226-5997

Name: Jennifer Campbell

Telephone Number: 319-367-0552

Name: Levi Morris

Telephone Number: 319-931-2568

Minority Impact Statement

Minority Impact Statement

Does the proposed grant program or policy have a disproportionate or unique positive impact on minority persons? *: No

Describe the positive impact expected from this project.:

Detail the rationale for the existence of the proposed program or policy.:

Indicate the group(s) positively impacted.:

Could the proposed grant program or policy have a disproportionate or unique negative impact on minority persons? *: No

Describe the negative impact expected from this project.:

Detail the rationale for the existence of the proposed program or policy. :

Indicate the group(s) negatively impacted.:

Explain how you provided consultation with representatives of the minority groups impacted.:

I hereby certify the information
above is complete and accurate
to the best of my knowledge.*:

Yes

Principal - Government Program

Title

Jason

First Name

Tompkins

Last Name

Budget NOFA #008

Project Budget

Category	Description	Total Estimated Cost \$ (from Exhibit D)	Requested Grant Support % (from Exhibit D)	Grant Request (Est. Cost * Request %)
Conduit			0.00	
Fiber/Copper			60.00	
OSP Engineering			60.00	
Design Engineering			0.00	
Construction Mgmt.			0.00	
Tower			0.00	
Antenna			0.00	
Boring			0.00	
Trenching			0.00	
Knifing			0.00	
Switching Equipment			60.00	
Routing Equipment			60.00	
Optical Equipment			60.00	
Customer Premise Equipment			60.00	
Other			0.00	
Total		\$271,175.03		\$162,705.02

Central Forms NOFA #008

Broadband Grants Core Application - Exhibits B, C, and D

Applicant requests that the contents of their application remain confidential in whole or in part, pursuant to Section 2.2.6.6. and 7.18 of the NOFA #008.

DISCLAIMER: An applicant requesting confidential treatment of portions of an application must attach a redacted public copy of the application. If the applicant fails to attach a redacted public copy pursuant to Section 7.18.1 of the

NOFA #008, the Office shall be relieved from any responsibility for maintaining the the confidentiality of the application pursuant to 7.18.4 of the NOFA #008.

NOTE: Applicants are ONLY required to submit a Public Redacted Copy IF they are requesting confidential treatment with respect to an aspect of their Application. Further, the mere fact that an Applicant submits a Public Redacted Copy (or otherwise requests confidential treatment) does not ensure the aspects of the Application that are redacted (or for which confidential treatment is otherwise sought) will be treated as confidential; the Office will only afford confidential treatment to the extent requests comply with the terms, conditions, and requirements of the NOFA #008, and solely to the extent permitted by Iowa Code Chapter 22 and the Office's corresponding fair information practices rules available at 129 IAC 2.

Full Unredacted Copy (XLSM)*:

Windstream_NOFA 008_Maxwell_Broadband_Grants_Core Application_Nofa_Final.xlsm

Core Application NOFA#008

Public Redacted Copy (PDF):

Windstream_NOFA 008_Maxwell_Broadband_Grants_Core Application_Redact.pdf

Wireless projects must demonstrate infrastructure deployed as a function of the project contains adequate backhaul capabilities to support stated broadband delivery speeds to the entire coverage area listed in Exhibit B. See Exhibit I - Wireless Project Design.

Are you implementing a wireless project?*: No

Please upload in PDF format and title as "Application Number - Applicant Name - Exhibit I"

Please Upload a Completed Exhibit I : Exhibit I - Wireless Design Worksheet

Broadband Grants Program Grant Agreement - Exhibit E

Have you read and do you accept the terms and conditions set forth in the grant agreement (Exhibit E)?*: Yes
Exhibit E - Grant Agreement NOFA#008

Exceptions to Broadband Program Grant Agreement:

Certification, Authorization, and Release of Information - Exhibit F

Please ensure Exhibit F is signed, in PDF format for upload, and titled "Application Number - Applicant Name - Exhibit F".

Exhibit F - Certification Letter (Public)*: **527414-Windstream-Exhibit F - Certification Letter NOFA 008-signed.pdf**
Exhibit F - Certification Letter

Request for Confidentiality or Form 22 - Exhibit G

Please ensure Exhibit G is signed, in PDF format for upload, and titled "Application Number - Applicant Name - Exhibit G".

Exhibit G - Request for Confidentiality - Form 22 (Public)*:

527414 - Windstream - exhibit_g_-_request_for_confidentiality - signed.pdf
Exhibit G - Request for Confidentiality

Product Pricing Form NOFA #008

Pricing for Covered Speed Package

Select the Covered Speed Package. (NOFA 3.1.7.3)

Covered Speed Package*: 100/100

Applicants must provide a price for a product with the applicable Covered Speed proposed in their Project, meaning the Applicant must state a price for a service package offering 100 megabits per second download and 100 megabits per second upload (or 100 megabits per second download and 20 megabits per second upload as applicable). (NOFA 3.1.7.3)

Monthly Non-Promotional Price of the Covered Speed Package*: \$74.99

Pricing for Highest Speed Package Offered

Enter the maximum download speed (in mb/s) offered to locations within your project area.

Maximum Download Speed Offered*: 1000

Enter the maximum upload speed (in mb/s) offered to locations within your project area.

Maximum Upload Speed Offered*: 1000

Enter the monthly price of the maximum speed package offered to locations in your project area.

Monthly Non-Promotional Price of the Maximum Speed Package*: \$104.99

Low-Cost Option

Enter the download speed (in mb/s) of the low-cost option offered to locations within this project area.

Low-Cost Option Download Speed (in mb/s)*: 500

Enter the upload speed (in mb/s) of the low-cost option offered to locations within this project area.

Low-Cost Option Upload Speed (in mb/s)*: 500

Enter the monthly price of the low cost option offered to locations within this project area.

Monthly Non-Promotional Price of the Low-Cost Option*: \$74.99

Speed Tiers and Pricing

Speed Tier	Download Speed (mb/s)	Upload Speed (mb/s)	Monthly Non-Promotional Pricing (including fees)
Tier 1	500	500	\$0.00
Tier 2	1000	1000	\$0.00
Tier 3			\$0.00
Tier 4			\$0.00

Community Support Letter

Convert the Community Support Letter to PDF format and upload file here.

Community Support Letter*: 527414-Windstream-Community Support Letter.pdf

